



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,641	05/14/2001	Madhavan Nampoothiri K.	32301WD1171

CONFIRMATION NO. 6478

00441  
SMITH, GAMBRELL & RUSSELL, LLP  
1850 M STREET, N.W., SUITE 800  
WASHINGTON, DC 20036

RECEIVED  
SEP 13 2001  
Technology Center 2600

FORMALITIES LETTER



\*OC000000006161739\*

Date Mailed: 06/08/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 912.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

BEST AVAILABLE COPY

710.00 OP  
72.00 OP  
130.00 OP

01 FC:101  
02 FC:103  
03 FC:105

09/04/2001 SDENB001 00000058 09853641

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*



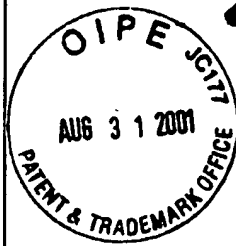
---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY



3600 Sector  
2722

PATENT  
Attorney Docket No. 32301WD1171

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: )  
)  
**Madhavan NAMPOOTHIRI, et al.** )  
)  
Serial No. : 09/853,641 )  
Serial No. : 09/855,641 ) Examiner: TO BE ASSIGNED  
)  
Filed: May 14, 2001 ) Group Art Unit: TO BE ASSIGNED  
For : NUCLEOTIDE SEQUENCE CODING FOR THE *cdsA* GENE

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Attn.: Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

SEP 13 2001

Sir:

**Technology Center 2600**

In response to the Notice to File Missing Parts of Application mailed June 8, 2001,  
Applicants submit herewith the following documents relating to the above-captioned patent  
application:

- (1) A copy of the Notice to File Missing Parts of Application;
- (2) Executed Declaration and Power of Attorney;
- (3) Check for \$ 912.00.

The check in the amount of \$1218.00 enclosed is to cover the following fees:

Basic Filing Fee:	\$ 710.00 (large entity)
Surcharge:	\$ 130.00
Four (4) additional claims	\$ 72.00
<b>TOTAL:</b>	<b>\$ 912.00</b>

**BEST AVAILABLE COPY**

On August 22, 2001, Applicant filed the Sequence Listing Submission Statement, Sequence Listing (hard copy and diskette), Petition for Extension of Time and check for \$110 to cover the extension of time fee.

If any additional fees are due in connection with this filing, such as fees under 37 C.F.R. §§ 1.16 or 1.17, the Commissioner is authorized to charge the fees or credit any overpayment to SGR Deposit Account No. 02-4300; Order No. 032301.1171.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By:  45.338

Robert G. Weilacher, Reg. No. 20,531  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
TEL: (202) 659-2811  
FAX: (202) 263-4329

August 31, 2001

**BEST AVAILABLE COPY**